

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Michihiro Shibata

Serial No.: 10/087,875

Art Unit: 1775

Filed: March 1, 2002

Examiner: Gwendolyn A. Blackwell Rudasil

For: OPTICAL INFORMATION RECORDING MEDIUM

December 9, 2003

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RECEIVED
DEC 16 2003
TC 1700

Sir:

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In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and pursuant to 37

C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Information Disclosure Statement by Applicant form (PTO/SB/08a).

The cited references were called to Applicants' attention in a European Search Report dated November 14, 2003, in European Patent Application No. EP 02004941, which is a counterpart to the instant case. A copy of the Search Report is submitted herewith, and the Examiner is respectfully directed thereto for a concise explanation of relevance of the cited art.

Since DE 3932460 is in German and JP 2000-063450 is in Japanese, English language abstracts have been provided herewith.

This Information Disclosure Statement is being cited in accordance with 37 C.F.R. § 1.97(d). Authorization to charge the requisite fee under 37 C.F.R. 1.17(p)(\$180) is granted in the

accompanying Fee Transmittal for FY 2004 provided herewith, and a Statement Under 37 C.F.R. § 1.98(e) follows.

STATEMENT UNDER 37 C.F.R. § 1.97(E)(1)

It is hereby certified that each item of information in this information disclosure statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

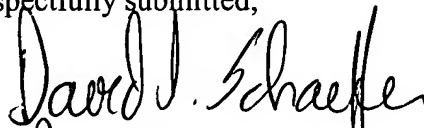
CONCLUSION

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 (PTO/SB/08a) be returned indicating that such information has been considered.


The Commissioner is authorized to charge any necessary fees, or credit any deficiencies, during the pendency of this case, to Deposit Account 19-4709.

Favorable consideration and prompt allowance of this application is respectfully requested. In the event that there are any questions, or should additional information be required, please do not hesitate to contact applicant's attorney at the number listed below.

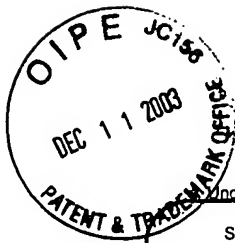
Respectfully submitted,



By

 Reg. No. 32,716

Lawrence Rosenthal
Registration No. 24,377
Attorney for Applicant
Stroock & Stroock & Lavan LLP
180 Maiden Lane
New York, New York 10038-4982
(212) 806-5400



PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet **1** of **1****Complete if Known**

Application Number	10/087,875
Filing Date	March 1, 2002
First Named Inventor	Michihiro Shibata
Art Unit	1775
Examiner Name	Blackwell Rudasil, Gwendolyn A.
Attorney Docket Number	628653/0004

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-			
		US-			
		US-			
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**RECEIVED
DEC 16 2003
TC 1700****FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		DE-3932460-A1	4/5/1990	DeSoto, Inc.	(w/ Engl. Abst.)	
		EP-0768353-A1	4/16/1997	Nippon Kayaku K.K.	(Engl. Orig.)	
		JP-2000-063450-A1	2/29/2000	JSR Corp et al.	(w/ Engl. Abst.)	
		WO-00/40663-A1	7/13/2000	Loctite (R&D) Limited	(Engl. Orig.)	
		EP-1187120-A2	3/13/2002	TDK Corp.	(Engl. Orig.)	

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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